

**DEPARTMENT OF INSURANCE****Producer Licensing Bureau**

320 CAPITOL MALL

SACRAMENTO, CA 95814-4309

Information (800) 967-9331 Or (916) 322-3555

www.insurance.ca.gov



Form 447-42A (Rev. 03/2001)

Subject: NAME APPROVAL REQUEST – Fictitious Name Request and Name Reservation

The use of any true or fictitious name (other than the bona fide natural name of an individual) by a licensee or applicant for such license, is subject to disapproval by the Commissioner (Refer to Insurance Code Sections 1724.5, 1759.10, 1811, 1841, 14042, and 15031).

**CAREFULLY REVIEW THE ENCLOSED REGULATIONS**

1. To help expedite the processing of your request, use this informational form, completed in full (print legibly or type required information). Return completed form with correct fee, see #9.
2. If you are a currently licensed entity with this department and are not changing the structure of your entity but only requesting a change in name, list existing name and license number.

3. List the business name you wish considered in order of preference. Five choices may be submitted.

1st: \_\_\_\_\_

2nd: \_\_\_\_\_

3rd: \_\_\_\_\_

4th: \_\_\_\_\_

5th: \_\_\_\_\_

Insurance Producers please note: fictitious name must denote insurance production; such as, insurance agency, insurance sales, insurance services, or insurance marketing.

Name Approval Request (Continued)

4. Type of entity

☐ Corporation:

A. Is this corporation formed as a result of the merger of organizations?  
Yes ☐ No ☐

If yes, submit a copy of the merger agreement.

B. If already incorporated, submit a copy of the articles of incorporation.

☐ Partnership:

☐ Individual:

☐ Other: (Please list)

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5. A. If name is to be used by an organization entity (Corporation, Partnership, etc.) list the principle nature of the entity's business.

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B. Approximately what percentage of the organization's income will be derived from insurance business?  
☐ 80 to 100% ☐ 21 to 79% ☐ 20% or less

6. License type(s) under which the entity will use this name to conduct insurance business:

☐ Fire and Casualty Broker-agent

☐ Life Agent

☐ Life and Disability Analyst

☐ Administrator

☐ Adjuster

☐ Surplus Line Broker

☐ Bail Agent

☐ Special Lines Surplus Line  
Broker

☐ Bail Permittee

Name Approval Request (Continued)

7. Entity to be licensed in California as a:  
(check one) ☐ Resident or ☐ Nonresident, State \_\_\_\_\_

8. Additional comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

9. The fee for application or request for approval of true or fictitious name (except there is no fee when the name is contained in an original application) is as follows:

PRODUCER	\$40
BAIL	\$21
ADJUSTER	
INDEPENDENT	
ADD OR CHANGE	\$18
REISSUE	\$21
PUBLIC	
ADD OR CHANGE	\$18
REISSUE	\$18

DUPLICATE LICENSE \$21 (Note: a new license is not automatically printed upon approval of a fictitious name or doing business as (dba) request)

A. If original request for use of name is submitted on a license application form along with required filing fees, the above requested \$40.00 name approval fee is NOT required.

B. When fees are required, mail documents and fees to:

DEPARTMENT OF INSURANCE  
P.O. BOX 1139  
SACRAMENTO, CA 95812-1139

10. If licensing forms are required call Sacramento - (916) 322-3555, choose option 4, or write to Department of Insurance, 320 Capitol Mall, Sacramento, CA 95814, or you may request them when submitting this name approval informational form. (List the number of persons to be named to transact under each license type, example: Fire and Casualty Agent - 4 persons.)

Signature: \_\_\_\_\_  
(Officer or Partner)

Printed Name: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Address: \_\_\_\_\_  
\_\_\_\_\_

Name Approval Request (Continued)

(INFORMATION FOR ADJUSTERS PER INSURANCE CODE SECTIONS 14042 and 15031).

SECTION 7540 of the Business and Professions Code states in part:

No licensee shall conduct a business under a fictitious or other business name unless and until he has obtained the written authorization of the bureau to do so.

The bureau shall not authorize the use of a fictitious or other business name, which is so similar to that of a public officer or agency or of that used by another licensee that the public may be confused or misled thereby.

The authorization shall require, as a condition precedent to the use of any fictitious name, that the licensee comply with Chapter 5 (commencing with Section 17900) of Part 3 of Division 7.

SECTION 17900 of the Business and Professions Code states:

- a. As used in this chapter, "fictitious business name" means:
  - (1) In the case of an individual, a name that does not include the surname of the individual or name that suggests the existence of additional owners.
  - (2) In the case of a partnership or other association of persons, a name that does not include the surname of each general partner or a name that suggests the existence of addition owners.
  - (3) In the case of a corporation, any name other than the corporate name stated in its articles of incorporation.
- b. A name that suggests the existence of additional owners within the meaning of subdivision (a) is one which includes such words as "Company," "& Company," "& Son," "& Sons," "& Associates," "Brothers," and the like, but not words that merely describe the business being conducted.

The use of the words, "Corporation," "Corp.," or "Inc." will not be approved for an individual or partnership license. The use of "U.S.," "Federal", or "State" will not be approved at any time in the future for inclusion in a fictitious or business name.